



Reg. No. : .....

Name : .....

**Fourth Semester B.B.A. Degree Examination, June 2016  
(Career Related First Degree Programme under CBCSS)**

**Group 2 (b)**

**Core Course**

**BM 1443 : CAPITAL MARKET AND INVESTMENT MANAGEMENT  
(2013 Admission)**

Time: 3 Hours

Max. Marks : 80

**SECTION – A**

Answer **all** questions in **one** or **two** sentences **each**. Each question carries **one** mark.

1. What are Zero Coupon Bonds ?
2. What are Commercial Papers ?
3. What is Capital market ?
4. What is an IPO ?
5. Who is a Jobber ?
6. What do you mean by 'Insider Trading' ?
7. Define forwards.
8. What is meant by OTCEI ?
9. What is Stag ?
10. Who is a depository ?

**(10×1=10 Marks)**

**SECTION – B**

Answer **any 8** questions in **not exceeding one** paragraph. Each question carries **two** marks.

11. What are the features of money market ?
12. What are the major advantages of investment in life insurances ?
13. Briefly explain the objectives of capital market.
14. Briefly state the advantages of commercial papers.



15. What is a bonus issue ?
16. State the activities of a depository.
17. What is dematerialisation of scrips ?
18. What is Merchant banking ?
19. State the objectives of SEBI.
20. What is a Mutual fund ?
21. Distinguish between investment and speculation.
22. Write a note on Public Provident Fund Scheme.

**(8×2=16 Marks)**

**SECTION – C**

Answer **any six** questions in **not exceeding one page each**. Each question carries **4** marks.

23. Explain briefly the differences between money market and capital market.
24. Explain the various methods of floatation of new issues.
25. Explain the various stages in investment process.
26. Explain the procedure for listing of securities.
27. Discuss the problems of mutual funds in India.
28. Explain briefly the regulatory and development functions of SEBI.
29. Explain the various types of debentures.
30. Discuss briefly the new instruments available for investment.
31. Explain the differences between forwards and futures.

**(6×4=24 Marks)**

**SECTION – D**

Answer **any two** questions in **not exceeding four pages each**. Each question carries **15** marks.

32. Explain the methods of trading in a stock exchange.
33. Discuss the defects of Indian money market and steps taken towards its improvement.
34. Explain the functions of merchant banking.
35. Explain the recent trends in Indian Capital market.

**(2×15=30 Marks)**